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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : JAMES EDWIN HAILEY ET AL.  
Serial No. : 09/402,294  
Filed : October 1, 1999  
For : A SYSTEM FOR PROCESSING AND DECODING MPEG COMPATIBLE  
DATA AND INTERNET INFORMATION  
Examiner : Hunter B. Lonsberry  
Art Unit : 2623

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- [ ] 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
[X] 2 Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
[ ] 3 Pursuant to 37 CFR 1.97(d)  
[after Final Office Action or Allowance, but prior to payment of issue fee]

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [X] 4 A list of documents on form PTO/SB08a and/or PTO/SB08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [ ] 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
[X] 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
[ ] (a) The required certification made in item 8(a) below; **OR**  
[X] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.  
[ ] 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of issue fee];  
and  
[ ] (a) The required certification made in item 8(a) below; **AND**  
[X] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

09/09/2007 14:00:00 03200000 073032 09/02/2007

09/09/2007 14:00:00 03200000 073032 09/02/2007

☐ 8 Certification

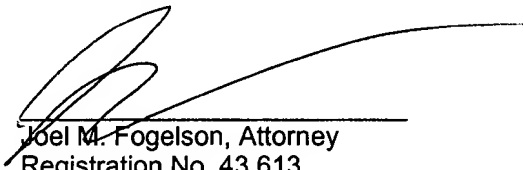
- ☐ (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

- ☒ 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

JAMES EDWIN HAILEY ET AL.

BY:

  
Joel M. Fogelson, Attorney  
Registration No. 43,613  
(609) 734-6809

Thomson Licensing LLC  
Patent Operations  
P. O. Box 5312  
Princeton, New Jersey 08543-5312

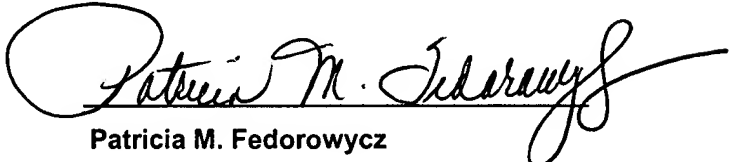
August 23, 2007

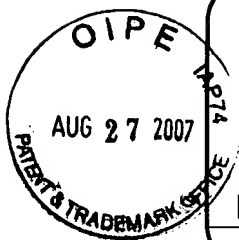
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**Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

August 24, 2007  
Date

  
Patricia M. Fedorowycz



Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

for FY 2007

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) \$180.00

## Complete if Known

Application Number	09/402,294
Filing Date	October 1, 1999
First Named Inventor	James Edwin Hailey
Examiner Name	Hunter B. Lonsberry
Art Unit	2623
Attorney Docket No.	RCA 88,752

### METHOD OF PAYMENT (check all that apply)

CUSTOMER NUMBER: 24498

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account: Deposit Account Number **07-0832** Deposit Account Name: **THOMSON LICENSING LLC**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Small Entity Fee (\$)	Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
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Multiple dependent claims

360	180
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##### Total Claims

##### Extra Claims

##### Fee (\$)

##### Fee Paid (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

##### Multiple Dependent Claims

##### Fee (\$)

##### Fee Paid (\$)

##### Independent Claims

##### Extra Claims

##### Fee (\$)

##### Fee Paid (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): INFORMATION DISCLOSURE STATEMENT FEE: \$180.00

##### Fees Paid (\$)

\$180.00

#### SUBMITTED BY

Name (Print/Type)	JOEL M. FOGELSON	Registration No. (Attorney/Agent)	43,613	Telephone	(609) 734-6800
Signature					August 23, 2007



Ser. No.09/402,294

PATENT  
RCA 88,752

CUSTOMER NO.: 24498

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: JAMES E. HAILEY ET AL.  
Serial No.: 09/402,294  
Filed: OCTOBER 1, 1999  
For: A SYSTEM FOR PROCESSING AND DECODING MPEG  
COMPATIBLE DATA AND INTERNET INFORMATION  
Examiner: HUNTER B. LONSBERRY  
Art Unit: 26 23

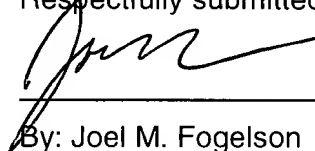
**SUPPLEMENTAL REPLY UNDER 37 C.F.R. 1.111(a)(2)**

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action response filed on August 13, 2007, Applicants noted a typographical error in the request for a four month extension. The request for the time for response was to be taken under 37 C.F.R. 1.136(a). It should be obvious from the request made by the Applicants that this is the case, but the Applicants want to formalize this in the record of the file wrapper. As indicated previously, the request for the four month extension is to be made to Deposit Account 07-0832.

Respectfully submitted,

  
By: Joel M. Fogelson

Reg. No. 43,613

Tel. (609) 734-6809

August 23, 2007  
Patent Operations  
Thomson Multimedia Licensing, Inc.  
P. O. Box 5312  
2 Independence Way,  
Princeton, New Jersey 08543

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	2	of	4
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**Complete if Known**

<i>Application Number</i>	09/402,294
<i>Filing Date</i>	October 1, 1999
<i>First Named Inventor</i>	James Edwin Hailey
<i>Art Unit</i>	2623
<i>Examiner Name</i>	Hunter B. Lonsberry
<i>Attorney Docket Number</i>	RCA 88,752

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 10-145687	05-29-98	Sony		x
		JP 10-164529	06-19-98	Sanyo		x
		JP Hei 09-539265 (Hei 11-510978)	09-21-99	Award Software International		X
		JP Hei 09-009160	01-10-97	Sony		X
		JP Hei 08-046950 (US 5448568 & 5548532)	02/16/96	Thomson Consumer Electronics		
		JP Hei 09-065300	03/07/97	Toshiba Corp.		X

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

3

of

4

**Complete if Known**

Application Number

09/402,294

Filing Date

October 1, 1999

First Named Inventor

James Edwin Hailey

Art Unit

2623

Examiner Name

Hunter B. Lonsberry

Attorney Docket Number

RCA 88,752

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KIKUCHI TAKAHIRO: "What is Data Broadcast Using Terrestrial Waves?", Nikkei Communications, Nikkei Business Publications, Inc., No. 216, pp. 96-97, February 19, 1996	x
		ISHII TOMOAKI: "Personal Computer and TV Integrated by the Internet - A Myriad of New Services for Multimedia Age", Nikkei Personal Computing, Nikkei Business Publications, Inc., No. 261, pp. 218-223, March 25, 1996	x
		KAMADA TOMIKU: "Period When Over One Hundred Million People All Networked! The Internet Will be Accessible Without PC: Emergence of Internet Appliances and Key Technology - TCP/IP for Internet Appliances Change Appliances into Terminal Devices, Computer & Network LAN, Ohmsha, Ltd., Vol. 14, No. 4, pp. 86-92, March 28, 1996	x
		"Joining Television and the Internet Using JAVA", Internet Week, Phillips Business Information, Inc., Vol. 2, No. 14, April 1, 1996	
		ARAKAWA TORU, KAMATA TOMIHISA: "The Internet Home Electronics and the Information Network Revolution", IEICE Technical Report, The Institute of Electronics, Information and Communication Engineers (IEICE), Vol. 96, No. 70, pp. 1-6, May 23, 1996	x
		MATSUBARA ATSUSHI: "Informatization of Home by the Internet Will Begin", Nikkei Byte, Nikkei Business Publications, Inc., No. 157, pp. 140-143, October 21, 1996	x
		MATSUBARA ATSUSHI: "Dedicated Network Environment Will Be at Home", Nikkei Byte, Nikkei Business Publications, Inc., No. 157, pp. 144-153, October 21, 1996	x
		MATSUBARA ATSUSHI: "A Variety of Services to be Launched with the Start of Digital Broadcasting", Nikkei Byte, Nikkei Business Publications, Inc., No. 157, pp. 154-161, October 21, 1996	x
		MIWA YOSHIHISA: "Development of Dedicated Contents Essential for Dissemination of Internet Appliances", Nikkei Byte, Nikkei Business Publications, Inc., No. 159, pp. 216-225, November 22, 1996	x
		GOTO HOROSHIGE: "5th NC Shock! Network Computer Shock", ASCII, ASCII Corporation, Vol. 20, No. 12, pp. 454-459, December 1, 1996	x
		GOTO HOROSHIGE: "Comprehensive Coverage on the Latest Move of Microsoft, Sun, and Oracle - Forefront of the Second Stage of Network Computing", DOS/V Power Report, Impress Japan Corporation, Vol. 7, No. 1, pp. 131-142, January 1, 1997	x

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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October 1, 1999

First Named Inventor

James Edwin Hailey

Art Unit

2623

Examiner Name

Hunter B. Lonsberry

Attorney Docket Number

RCA 88752

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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		MATSUBARA ATSUSHI, "Injecting Data Into TV Broadcast Content", Nikkei Business Publications, Inc., No. 157, pp. 162-165 (October 21, 1996)	

Examiner  
SignatureDate  
Considered

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